

Audit requirements WS

1. A particular organisation uses a computerised stock control system. On performing the half-yearly stock check it is discovered that the actual stock levels of some of the items are below that shown on the system.

(a). Describe the functionality which should have been built into the software to minimise the possibility of this happening. (1 mark)

(b). How does this software operate? (2 marks)

(c). Explain why this functionality is required, what two other data items could have been logged and how this information could have been used. (6 marks)

2. A Medical Practice has installed a new information system that links patient records and prescriptions to the financial systems of the practice. The financial records must be secure against fraud as they are used to claim money from the Health Authority.

(a). Describe **four** factors that should be included in an IT security policy for the practice. (8 marks)

(b). Describe **one** measure the practice could take to show that their records were accurate. (2 marks)

(c). Describe **three** criteria that could be used to select a disaster contingency plan to recover from a breakdown of this system. (6 marks)

Total: 25